**“know your customer” (KYC) questionnaire**

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Your company wishes to hold an account with VREG. As a member of the Association of Issuing Bodies, VREG is bound to comply with EU Directive 2015/849 and “Know your Customer” regulations and requirements. Thus, in order to complete our appraisal concerning your application, we kindly ask you to answer the following questions about your company. Please note that VREG can decide not to proceed with the admission process depending on the answers given in this questionnaire.

To simplify access to their respective markets, all AIB members are cooperating in the admission process, and all questionnaires are made available to all other AIB members in order to share information.

|  |  |
| --- | --- |
| **Corporate name (according to national company register)** |   |
| **Organisation number on national company register** |       |
| **Trading name(s) (if trading under a different name to corporate name)** |       |
| **Address of registration** |                 |
| **Phone (switchboard)** |       |
| **Web site**  |       |

1. **IDENTITY**
	1. What is your company type?

[ ]  Credit institution (please provide a copy of your licence document

[ ]  Investment firm (please provide a copy of your licence document)

[ ]  Other authorised or regulated financial institution (please provide a copy of your licence document)

[ ]  Commercial firm (Utilities, industry)

[ ]  Municipal or regional supplier

[ ]  Broker

* 1. When was your company founded?

* 1. Where was your company established?

* 1. Please indicate the number of employees that work for your company?

* 1. Please indicate in which countries your company is active.

* 1. Please describe the main business of your company.

* 1. What are the name, address and date of birth of your General Manager?

* 1. What are the names, addresses and dates of birth of your Board members and contact people?

* 1. Please give an overview of your shareholder structure (indicate their respective shares if >10%).

* 1. Who is your Independent Auditor? Please give us a contact and his/her details (phone, email)?

* 1. Is your company member of one or several professional associations? If yes, indicate the name and contact details of these associations. Since when are you member?

* 1. Please indicate name and domicile of your commercial bank.

1. **YOUR MOTIVATIONS**
	1. Why does your company want to be a member of our market?

* 1. Will your activity on the VREG-platform be occasional, seasonal or permanent?

* 1. Is your company active in any other energy, commodities or financial markets? If yes, which ones? Since when?

* 1. Is your company active on any other certificate registries? If yes

Which registries? (list)

Since when have you been registered with these registries? (Give date of opening account for each)

* 1. Do you trade for your own account or third party as well? If you trade for third party, please indicate their official (legal) name and registered company number or, if a natural person, then their address.

* 1. What do you expect your trading volumes to be?

|  |  |
| --- | --- |
|  | Certificates (volumes in MWh) |
|  | Annual  | Monthly  |
| EECS GOs |  |  |
| EECS ICS (e.g. RECS) |  |  |

1. **YOUR CAPABILITY TO INTERVENE**
	1. Do you have professional traders working for your company?

|  |  |
| --- | --- |
| **Name** | **Qualification** |
|  |  |
|  |  |
|  |  |

Are these traders qualified or certified by a professional organisation?

* 1. Do you have facilities dedicated to trading?

* 1. Please indicate what TSO’s you have a contract with.

1. **OTHER**
	1. Please make any other comments that you feel to be relevant to your application.

I recognise that VREG, as a member of the Association of Issuing Bodies is bound to comply with EU Directive 2015/849 and the Belgian law of September 18, 2017 on prevention of money laundering, “Know your Customer” regulations and requirements.

I also recognise that this questionnaire will be made available to all other AIB members.

I declare in good faith that all information, answers and documents provided with this questionnaire are exact and authentic. I have omitted no important information that could have impact on the judgment made by VREG on this demand.

I agree to answer any other questions that VREG might have in the future to comply with its legal obligations.

Place

Date

Name and signature of the legal representative of the company: